

**Frances Anne Houle**  
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### **Research Interests**

Chemical modification of nanoparticle, semiconductor, metal and polymer interfaces, surfaces and films. Oxidative processes in aerosols. Nanoscale pattern formation. Development of novel experimental methods for characterization of nanoparticle, thin film, surface and interface physics and chemistry including nanoscale composition, reaction mechanisms, nanomechanical properties. Stochastic simulation methods for complex chemical reactions including transport.

### **Education**

Ph.D. Chemistry	California Institute of Technology, 1979
B.A. Chemistry	University of California at Irvine, <i>cum laude</i> , 1974 Whittier College, Whittier, California (1970 - 1972)

### **Employment**

10/2015-present	Deputy Director for Science and Research Integration, Joint Center for Artificial Photosynthesis, Lawrence Berkeley National Laboratory
3/2013-9/2015	Department Head, Joint Center for Artificial Photosynthesis, LBNL
2/2015-present	Senior Scientist, Chemical Sciences Division, LBNL
3/2013-2/2015	Staff Scientist, Chemical Sciences Division, LBNL
8/2011-3/2013	Director of Strategic Initiatives, Chemical Sciences Division, LBNL
5/2011-present	Principal, Columbia Hill Technical Consulting, Fremont, CA
4/2009 – 4/2011	Manager, Materials Development
	InVisage Technologies, Inc, Menlo Park, CA
12/80 – 3/2009	Research Staff Member, Research Division
	Science and Technology Department
	International Business Machines Corporation, San Jose, CA
5/79 - 11/80	Postdoctoral Research Associate
	University of California at Berkeley Department of Chemistry and Lawrence Berkeley Laboratory

### **Technical Leadership Experience**

#### **Joint Center for Artificial Photosynthesis, a DOE Energy Innovation Hub**

- Responsible for oversight of JCAP's technical programs in partnership with the Director and Deputy Director for Programs at California Institute of Technology
- Management of LBNL administrative matters for an organization of about 90 people with a budget of approximately \$6.5 million per year
- Relationship management with DOE User Facilities and JCAP Industrial Partners

#### **Lawrence Berkeley National Laboratory, Division of Chemical Sciences – Director of Strategic Initiatives**

- Responsible for planning and development of new technical programs and alliances for the Division and support of new proposals, played a direct role in 4 successful awards of new funding in 2012

- Named Associate Director and key personnel for Critical Materials Hub bid by the lead Laboratory, co-leader of LBNL proposal team (approx 15 primary participants)
- Appointed member of LBNL Opportunity Board and 2 Laboratory-wide technical strategy groups to shape future initiatives

### **InVisage Technologies - Manager**

- Responsible for key nanoparticle based material in image sensor device at approx. 26 person startup company. Led and actively participated in the following areas
  - Delivery of film composition and scaled-up processes that met integration and imaging performance targets and milestones. 1 invention disclosure.
  - Development of measurement techniques suitable for quality assurance of wafers, solutions and nanoparticle and other films, process diagnostics
- Technical program planning and execution as a team with integration, production and device engineering functions
- People manager for 18 months

### **IBM - Selected technical team leadership experiences**

- Built and led team (3 full-time and 15 part-time members) that developed nanoimprint materials systems joint with 2 external corporate partners. Defect mechanisms and design of new materials to reduce them. 9 patents.
- Co-led multisite 36-member team addressing a critical photomask degradation problem involving nanoscale Cr migration. 1 IBM recognition and 1 patent application.
- Co-led 4-member multidisciplinary team investigating fundamental issues in photoresist extendability. Innovative experimental and modeling techniques created for discovery of factors limiting resolution of chemically amplified photoresists to 50nm. Results have led to new chemically amplified resist design paradigms used throughout the industry. 4 patents. 2 IBM recognitions.

### ***Additional relevant experiences***

#### **Failure analysis - IBM**

- Member, Reticle Growth Defect working group (2005-2008)  
 Task force on disk drives (2002)  
 Task force on head-disk interface failure mechanisms (1984)

#### **Business planning - IBM**

- Member and sub-team co-leader, IBM Academy of Technology study group on eScience (2006)  
 Study group on Physical Sciences Strategy (2002)  
 Study group on cross-functional teams (1995)  
 Assignment to Scientific and Technical Application Software department for product commercialization project (1994-1995)  
 Task force on Research-Market Management Interface and New Business Opportunities (1994-1995)

#### **External alliances – IBM and InVisage Technologies**

- Managed relationships with materials and characterization services vendors, Invisage (2009-2011)  
 Member, Nanoimprint Advisory Group, International SEMATECH (2008-2009)  
 Technical lead, nanoimprint activities under IBM-JSR joint research agreement (2008-2009)  
 IBM representative to the Industrial Advisory Board, NSF EUV Engineering Research Center (2007-2009)

Member, International SEMATECH Resist Outgassing Work Group (2004-2006)  
Member, IBM team, Resist group, EUV LLC (2001- 2002)

#### **Health and safety – InVisage Technologies**

Managed health and safety programs, regulatory documentation, hazardous materials handling and documentation  
Responsible for employee training and record keeping

### ***Awards, Honors and Fellowships***

John A. Thornton Memorial Award and Lecture, American Vacuum Society, 2009.  
IBM Research Division Accomplishment recognition for Mask Defect Root Cause, 2008  
IBM Research Division Technical Group Award for Photoresist Limits, 2004  
Gomes School Parent-Teachers Association Award (for developing the Science Fair), 2003  
IBM Research Division Accomplishment recognition for Photoresist Limits, 2002  
AIChE Northern California Section Chemical Engineering Excellence Award: Research Project of the Year (for Chemical Kinetics Simulator), 1999  
IBM Corporate Environmental Affairs Excellence Award (for Chemical Kinetics Simulator), 1998  
Fellow of the American Vacuum Society, 1996  
IBM Supplemental Patent Issue Award for US patent 5446870, 1996  
Fellow of the American Physical Society, 1992  
IBM Outstanding Innovation Award for Laser Deposition of Metals, 1990  
First Prize, IBM Computational Chemistry Challenge, 1990  
IBM Invention Achievement Awards, First-Seventh Plateaus, 1985-2010  
IBM Postdoctoral Fellowship, UCB/LBL, 1979-80  
Herbert Newby McCoy Award for Outstanding Contributions in Chemistry, CIT, 1979  
IBM Predoctoral Fellowship, CIT, 1977-78  
Dean's Award for Outstanding Senior in Chemistry, UCI, 1974  
ACS (Orange County Section) Award, UCI, 1974

### ***Selected Professional Activities***

#### **Current Service:**

Member, New Meetings Subcommittee, Materials Research Society (2012- present)  
Member-at-large, Executive committee of the Division of Condensed Matter Physics, American Physical Society (2014-2016)  
Chair-Elect, Panel on Public Affairs, American Physical Society (Chair line 2015-2018)

#### **Professional Society Memberships -**

American Chemical Society, American Physical Society (Fellow), American Vacuum Society (Fellow), Materials Research Society, American Geophysical Union

#### **Past Service:**

##### **Editorial -**

*Co-Editor*, "Laser Chemical Processing of Semiconductor Devices", F. A. Houle, T. F. Deutsch and R. M. Osgood, Jr., Materials Research Society, Pittsburgh, 1984.

*Associate Editor*, Journal of Vacuum Science and Technology A (1989-1993)

*Co-Editor*, "Surface Chemistry and Beam-Solid Interactions", H. Atwater, F. A. Houle and D. Lowndes, Materials Research Society, Pittsburgh, 1991.

*Member of the Editorial Committee*, Annual Reviews of Physical Chemistry (2001-2005)

*Associate Editor*, Journal of Vacuum Science and Technology B (2001-2003)

**Professional Society Governance-**

Board of Directors, Northern California Chapter, AVS (1982-1986)  
AVS Thin Film Division Executive Committee (1988-1989)  
AVS Board of Scholarship Trustees (1990-1992)  
Vice Chair (1993), Program Chair (1994) and Chair (1995), Electronic Materials and Processing Division, AVS  
Selection and Scheduling Committee, Gordon Research Conferences (1996-2002)  
Council of the Gordon Research Conferences (1994, 1996-2002)  
Nominations Committee, Division of Physical Chemistry, American Chemical Society (1998)  
Nanometer-scale Science and Technology Division Executive Committee, AVS (2001-2002)  
Nominating Committee, Division of Laser Science, American Physical Society (2001)  
General Councilor, American Physical Society (2002-2005)  
Chair, American Physical Society Task Force on Professional Ethics, Standards and Practices (2002-2003)  
Member of the Executive Board, American Physical Society (2004-2005)  
Member, Budget Committee, American Physical Society (2004-2006)  
Member, Committee on Committees, American Physical Society (2005)  
Member-at-large, Executive committee of the California Section, American Physical Society (2008-2010)  
Member, American Physical Society Panel on Public Affairs (2009-2011).  
Member, Fellowship Committee, American Physical Society (2010-2012).

**Conference Organization -**

American Chemical Society National Meeting Program Committee (1983)  
Materials Research Society Fall Meeting, Symposium Co-chair (1984, 1990)  
Society of Photo -Instrumentation Engineers LA'84 Program Committee (1984)  
Conference on Lasers and Electro - Optics Program Subcommittee Chair (1987), member (1988)  
American Vacuum Society National Symposium Program Committee (1987, 1990, 1994 – EMPD Program Chair)  
Microphysics of Surfaces, Beams and Adsorbates Topical Meeting Organizing Committee (1991,1995)  
Chemistry of Electronic Materials Gordon Research Conference, Vice-chair (1992), Chair (1994 – theme of conference was chemical control in nanofabrication)  
International Advisory Committee, 2nd International Conference on Laser Advanced Materials Processing, Japan (1992)  
International Advisory Committee, First International Symposium on Laser and Optoelectronics Technology and Applications, Singapore (1993)  
International Advisory Committee, 10th International Conference in Solid Films and Surfaces, Princeton, NJ (2000)  
Advances in Resist Technology and Processing Program Committee, SPIE International Symposium on Microlithography, Santa Clara (2001-2003)  
International Advisory Committee, 3<sup>rd</sup> African Materials Research Society Symposium, Casablanca, Tunisia (2005)  
Resist Section, Electron, Ion and Photon Beams and Nanolithography Program Committee (2008)  
Alternative Lithographic Technologies Program Committee, SPIE Advanced Lithography Symposium (2008-2009)  
International Conference on Nanoimprint and Nanoprint Technology (2009)  
Council for Chemical Research Chemical Innovation Forum and Annual Meeting, Washington DC (2013)

**Committees -**

Student Awards Judge, Materials Research Society Spring Meeting (1994)  
Scientific Advisory Panel, Alice in Wonderland Project, an NSF-funded project at the Children's Discovery Museum, San Jose, California (1998-1999)  
Industrial Advisory Board, Graduate Training Program in Optical Sciences and Engineering, University of Colorado, Boulder (1998-1999)  
Discussion Leader, Advanced and Emerging Materials Group, US-Africa Materials Workshop (Pretoria, South Africa, 2000)  
Advisory Committee, African Materials Science Gateway project, Northwestern University and University of Witwatersrand (2001)  
Organizing committee, 75<sup>th</sup> Anniversary of the Gordon Research Conferences (2002-2006)  
ACS awards committee (2003 – 2005)  
ACS awards committee (2006 – 2008)  
Industrial Advisory Board, NSF Engineering Research Center for Extreme Ultraviolet Science and Technology, Colorado State University, University of Colorado, UC Berkeley and Lawrence Berkeley National Laboratory (2007- 2009).  
American Institute of Physics Statistics Advisory Committee (2007-2009).  
APS Ethics Education Web Site committee (2007- present)  
National Academies committee to revise *On being a scientist* (booklet on scientific ethics) (2007-2008)  
APS Panel on Public Affairs - MRS study on Energy – Critical Elements (2010-2011)  
APS Physics Policy Committee - POPA study on innovation (2011-2012)

**Review panels -**

Review Panel for the Proposed Department of Energy Combustion Dynamics Facility (1989)  
Review Panel, Materials Synthesis and Processing Initiative, National Science Foundation (1992)  
NRC Board of Assessment for NIST, Subpanel for JILA (1996 - 1998)  
NSF Panel for Materials Research Science and Engineering Centers (1996)  
NSF Site Review Committee, Science and Technology Center (1996)  
Committee of Visitors, Physics Division, National Science Foundation (1997)  
NSF Site Review Committee, Proposed Science and Technology Center (1999)  
Chair, NRC Board of Assessment for NIST, Subpanel for JILA (1999-2002)  
NSF Site Review Committee, Materials Research Science and Engineering Center (2000)  
NSF Review Panel for LIGO, Caltech/MIT (2001)  
NSF Site Review Committee, Materials Research Science and Engineering Center (2005)  
DOE Review Panel (2014) – two programs

**Funding History**

ONR grant for the 1994 Chemistry of Electronic Materials Gordon Research Conference, \$6000.  
NSF grant for the 1994 Chemistry of Electronic Materials Gordon Research Conference, DMR-9321393, \$6000.  
NATO grant CRG 951452, "Stochastic Simulation of Chemical Vapor Deposition of Amorphous Hydrogenated Silicon" with Prof. Dr. Peter Hess, Heidelberg University, Germany (1996-1998)  
NIST ATP grant 70NANB7H7025 subaward from Anasys (2008-2009), support for a postdoc to provide nanoimprint materials for nanoscale IR characterization  
Joint Center for Artificial Photosynthesis, DOE Energy Innovation Hub Renewal Proposal (2013-2015), Principal Investigator for LBNL, \$24M/year

Joint Center for Artificial Photosynthesis, DOE Energy Innovation Hub Renewal Proposal (2015-2020), Principal Investigator for LBNL, \$15M/year

California Energy Commission contract for solar fuels research, Principal Investigator for LBNL, \$5M

LBNL Laboratory Research And Development Grant, PI, \$200K/year (2014-2016)

LBNL Laboratory Research And Development Grant, co-PI, \$200K/year (2016-2017)

## **Refereed publications**

1. The Nature of the Bonding of  $\text{Li}^+$  to  $\text{H}_2\text{O}$  and  $\text{NH}_3$ ; Ab Initio Studies  
R. L. Woodin, F. A. Houle and W. A. Goddard, III  
*Chem. Phys.* **14**, 461 (1976).
2. The First Ionization Potential of Ethyl Radical by Photoelectron Spectroscopy  
F. A. Houle and J. L. Beauchamp  
*Chem. Phys. Lett.* **48**, 457 (1977).
3. Detection and Investigation of Allyl and Benzyl Radicals by Photoelectron Spectroscopy  
F. A. Houle and J. L. Beauchamp  
*J. Am. Chem. Soc.* **100**, 3290 (1978).
4. On Exit Channel Coupling Effects in the Unimolecular Decomposition of Triatomics  
D. L. Bunker, K. R. Wright, W. L. Hase and F. A. Houle  
*J. Phys. Chem.* **83**, 933 (1979).
5. Photoelectron Spectroscopy of Methyl, Ethyl, Isopropyl and tert-Butyl Radicals. Implications for the Thermochemistry and Structures of the Radicals and their Corresponding Carbonium Ions  
F. A. Houle and J. L. Beauchamp  
*J. Am. Chem. Soc.* **101**, 4067 (1979).
6. Effects of Molecular Structure and Basicity. The Gas Phase Proton Affinities of Cyclic Phosphites  
R. V. Hodges, F. A. Houle, J. L. Beauchamp, R. A. Montag and J. G. Verkade  
*J. Am. Chem. Soc.* **102**, 932 (1980).
7. Simulation Methods in Kinetics Courses  
F. A. Houle and D. L. Bunker  
*J. Chem. Educ.* **58**, 405 (1981).
8. The Effect of Vibrational and Translational Energy on the Reaction Dynamics of the  $\text{H}_2^+ + \text{H}_2$  System  
S. L. Anderson, F. A. Houle, D. Gerlich and Y. T. Lee  
*J. Chem. Phys.* **75**, 2153 (1981).
9. Vibrational Effects in Proton and Charge Transfer in the  $\text{H}_2^+ + \text{Ar}$  System  
F. A. Houle, S. L. Anderson, D. Gerlich, T. Turner and Y. T. Lee  
*Chem. Phys. Lett.* **82**, 392 (1981).
10. Thermal Decomposition Pathways of Alkyl Radicals by Photoelectron Spectroscopy. Application to Cyclopentyl and Cyclohexyl Radicals  
F. A. Houle and J. L. Beauchamp  
*J. Phys. Chem.* **85**, 3456 (1981).
11. Nonadiabaticity in Ion-Molecule Reactions: Coupling of Proton and Charge Transfer in the  $\text{H}_2^+$  and  $\text{D}_2^+$  + Ar System  
F. A. Houle, S. L. Anderson, D. Gerlich, T. Turner and Y. T. Lee  
*J. Chem. Phys.* **77**, 748 (1982).
12. Laser-Induced Chemical Etching of Metals and Semiconductors  
F. A. Houle and T. J. Chuang  
*J. Vac. Sci. Technol.* **20**, 790 (1982).
13. Gaseous Products from the Reaction of  $\text{XeF}_2$  with Silicon  
H. F. Winters and F. A. Houle  
*J. Appl. Phys.* **54**, 1218 (1983).
14. Nonthermal Effects in Laser-Enhanced Etching of Silicon by  $\text{XeF}_2$   
F. A. Houle  
*Chem. Phys. Lett.* **95**, 5 (1983).

15. Photoeffects on the Fluorination of Silicon. I. Influence of Doping on Steady-State Phenomena  
F. A. Houle  
*J. Chem. Phys.* **79**, 4237 (1983).
16. Photoeffects on the Fluorination of Silicon. II. Kinetics of the Initial Response to Light  
F. A. Houle  
*J. Chem. Phys.* **80**, 4851 (1984).
17. Photoelectron Spectroscopy of 1-Propyl, 1-Butyl, Isobutyl, Neopentyl and 2-Butyl Radicals: Free Radical Precursors to High Energy Carbonium Ions  
J. C. Schultz, F. A. Houle and J. L. Beauchamp  
*J. Am. Chem. Soc.* **106**, 3917 (1984).
18. Photoelectron Spectroscopy of Isomeric C<sub>4</sub>H<sub>7</sub> Radicals. Implications for the Thermochemistry and Structures of the Radicals and their Corresponding Carbonium Ions  
J. C. Schultz, F. A. Houle and J. L. Beauchamp  
*J. Am. Chem. Soc.* **106**, 7336 (1984).
19. Mechanism of Laser-Enhanced Etching of Silicon  
F. A. Houle  
*MRS Symp. Proc.* **29**, 203 (1984).
20. Photochemical Generation and Deposition of Copper from the Gas Phase  
C. R. Jones, F. A. Houle, C. A. Kovac and T. H. Baum  
*Appl. Phys. Lett.* **46**, 97 (1985).
21. Laser Chemical Vapor Deposition of Copper  
F. A. Houle, C. R. Jones, T. H. Baum, C. Pico and C. A. Kovac  
*Appl. Phys. Lett.* **46**, 204 (1985).
22. Composition, Structure and Electric Field Variations in Photodeposition  
R. J. Wilson and F. A. Houle  
*Phys. Rev. Lett.* **55**, 2184 (1985).
23. Surface Processes Leading to Carbon Contamination of Photochemically Deposited Copper Films  
F. A. Houle, R. J. Wilson and T. H. Baum  
*J. Vac. Sci. Technol. A* **4**, 2452 (1986).
24. A Reinvestigation of the Etch Products of Silicon and XeF<sub>2</sub>: Doping and Pressure Effects  
F. A. Houle  
*J. Appl. Phys.* **60**, 3018 (1986).
25. Basic Mechanisms in Laser Etching and Deposition  
F. A. Houle  
*Appl. Phys. A* **41**, 315 (1986) (*invited*).
26. Fundamental Aspects of Photon Assisted Processing  
F. A. Houle  
"Reduced Temperature Processing for VLSI", Electrochemical Society Symposium Proceedings **86-5**, 32 (1986).
27. Heat and Light in Laser-Materials Interactions  
F. A. Houle  
*J. Vac. Sci. Technol. A* **4**, 665 (1986).
28. Optical Self-Regulation during Laser-Induced Oxidation of Copper  
L. Baufay, F. A. Houle and R. J. Wilson  
*J. Appl. Phys.* **61**, 4640 (1987).
29. Dynamics of Desorption of SiF<sub>4</sub> During Etching of Silicon by XeF<sub>2</sub>  
F. A. Houle  
*J. Chem. Phys.* **87**, 1866 (1987).

30. On the Relative Importance of Physical and Chemical Sputtering in Ion-Enhanced Etching of Silicon by XeF<sub>2</sub>  
F. A. Houle  
*Appl. Phys. Lett.* **50**, 1838 (1987).
31. Real-Time Studies of Laser-Oxidation of Copper: Characteristics of an Optical Heat Source  
L. Baufay, F. A. Houle and R. J. Wilson  
*MRS Symp. Proc.* **75**, 281 (1987).
32. Interdependence of Optical Excitation and Surface Chemistry in Laser Induced Deposition and Etching  
F. A. Houle  
*Laser Chemistry* **9**, 107 (1988) (*invited*).
33. Origin of Contaminants in Photochemically Deposited Chromium Films  
K. A. Singmaster, F. A. Houle and R. J. Wilson  
*Appl. Phys. Lett.* **53**, 1048 (1988).
34. Photostimulated Desorption in Laser-Assisted Etching of Silicon  
F. A. Houle  
*Phys. Rev. Lett.* **61**, 1871 (1988).
35. Desorption Dynamics of SiF<sub>4</sub> Etch Product  
F. A. Houle  
*J. Vac. Sci. Technol.* **A6**, 840 (1988).
36. Laser Deposition of Films from Acetylacetone Complexes  
F. A. Houle, T. H. Baum and C. R. Moylan  
"Laser Chemical Processing for Microelectronics", K. Ibbs and R. M. Osgood, Jr., Editors, Cambridge University Press, Cambridge (1989), Chapter 2 (*invited*).
37. Photochemical Etching of Silicon: the Influence of Photogenerated Charge Carriers  
F. A. Houle  
*Phys. Rev. B* **39**, 10 120 (1989).
38. Surface Reactions Leading to Contamination of Metal Films Photochemically Deposited from the Hexacarbonyls  
K. A. Singmaster, F. A. Houle and R. J. Wilson  
*MRS Symp. Proc.* **131**, 469 (1989).
39. Surface Photoprocesses in Laser Assisted Etching and Film Growth  
F. A. Houle  
*J. Vac. Sci. Technol.* **B7**, 1149 (1989).
40. Photochemical Deposition of Thin Films from the Metal Hexacarbonyls  
K. A. Singmaster, F. A. Houle and R. J. Wilson  
*J. Phys. Chem.* **94**, 6864 (1990).
41. Effect of Laser Heating on Compositions of Films Deposited from the Metal Hexacarbonyls  
K. A. Singmaster and F. A. Houle  
*MRS Symp. Proc.* **201**, 159 (1991).
42. Doping Effects on the Etching Chemistry of GaAs and Si  
F. A. Houle  
*MRS Symp. Proc.* **204**, 25 (1991).
43. Fundamental Aspects of Laser Deposition of Thin Metal Films: Chemistry of Contamination  
K. A. Singmaster and F. A. Houle  
"Symposia on Reliability of Semiconductor Devices/Interconnections and Dielectric Breakdown and Laser Processes for Microelectronic Applications", Electrochemical Society Proceedings 92-4, 265 (1992).

44. Continuous Wave Visible Laser-Assisted Decomposition of Cr(CO)<sub>6</sub> on a Growing Film: In Situ Observations  
F. A. Houle and L. I. Yeh  
*J. Phys. Chem.* **96**, 2691 (1992).
45. Chemical Changes Accompanying Facet Degradation of AlGaAs Quantum Well Lasers  
F. A. Houle, D. L. Neiman, W. C. Tang and H. J. Rosen  
*J. Appl. Phys.* **72**, 3884-3896 (1992).
46. Visible Laser Induced Nucleation and Growth of Cr, Mo and W Films from the Hexacarbonyls. Reactivity of CO on Film Surfaces  
F. A. Houle and K. A. Singmaster  
*J. Phys. Chem.* **96**, 10425-10439 (1992).
47. Electron Impact Fragmentation of Gases by Molecular Beam Mass Spectrometry. Application to AsCl<sub>3</sub> and a GaCl<sub>3</sub>/Ga<sub>2</sub>Cl<sub>6</sub> Mixture  
F. A. Houle  
*Int. J. Mass. Spec. Ion Proc.* **123**, 243-252 (1993).
48. Laser Assisted Chemical Vapor Deposition from the Metal Hexacarbonyls  
K. A. Singmaster and F. A. Houle  
Laser Chemistry of Organometallics, J. Chaiken, Ed. ACS Symposium Series **530**, Chapter 21 (1993).
49. Thermal and Acid-Catalyzed Deprotection Kinetics in Deep UV Resist Materials  
G. Wallraff, J. Hutchinson, W. Hinsberg, F. A. Houle, P. Seidel, R. Johnson, and W. Oldham  
*J. Vac. Sci. Technol. B* **12**, 3857-3862 (1994).
50. Kinetics of Thermal and Acid-Catalyzed Deprotection in Deep UV Resist Materials  
J. Hutchinson, G. Wallraff, W. Hinsberg, F. Houle and P. Seidel  
*Microelectronic Engineering*, **27**, 397-400 (1995).
51. Stochastic Simulations of Temperature Programmed Desorption Kinetics  
F. A. Houle and W. D. Hinsberg  
*Surface Science*, **338**, 329-346 (1995).
52. Simulations of Thermal Decomposition and Film Growth from the Group VI Metal Hexacarbonyls  
F. A. Houle and W. D. Hinsberg  
*J. Phys. Chem.* **99**, 14477-14485 (1995).
53. Stochastic Simulation of Heat Flow with Application to Laser-Solid Interactions  
F. A. Houle and W. D. Hinsberg  
*Appl. Phys. A* **66**, 143-151 (1998).
54. In Situ FTIR Spectroscopy and Stochastic Modelling of Surface Chemistry of Amorphous Silicon Growth  
U. Wetterauer, J. Knobloch, P. Hess and F. A. Houle  
*J. Appl. Phys.* **83**, 6096-6105 (1998).
55. Mechanistic Studies of Chemically Amplified Photoresists  
W. D. Hinsberg, G. Wallraff, F. A. Houle, M. Morrison, J. Frommer, R. Beyers and J. Hutchinson  
Organic Thin Films, ACS Symposium Series, C. Frank, ed., Amer. Chem. Soc., Washington DC, vol **695**, 344-359 (1998).
56. Deep UV Interferometric Lithography as a Tool for Assessment of Chemically Amplified Resist Performance  
W. D. Hinsberg, F. A. Houle, J. Hoffnagle, M. Sanchez, G. Wallraff, M. Morrison and S. Frank  
*J. Vac. Sci. Technol. B* **16**, 3689-3694 (1998).

57. Factors Controlling Pattern Formation in Chemically Amplified Resists at Sub-100 nm Dimensions.  
 W. Hinsberg, F. Houle, G. Wallraff, M. Sanchez, M. Morrison, J. Hoffnagle, H. Ito, C. Nguyen, C. Larson, P. Brock and G. Breyta  
*J. Photopolym. Sci. Tech.*, **12**, 649-662 (1999).
58. Liquid Immersion Deep-UV Interferometric Lithography  
 J. Hoffnagle, W. D. Hinsberg, M. Sanchez and F. A. Houle  
*J. Vac. Sci. Technol. B* **17**, 3306 (1999).
59. Determination of Coupled Acid Catalysis-Diffusion Processes in a Positive Tone Chemically Amplified Photoresist  
 F. A. Houle, W. D. Hinsberg, M. Morrison, G. Wallraff, C. Larson, M. Sanchez and J. Hoffnagle  
*J. Vac. Sci. Technol. B* **18**, 1874-1885 (2000).
60. Chemistry and Physics of the Post-expose Bake Process in Chemically Amplified Resists  
 W. Hinsberg, F. Houle, M. Sanchez and G. Wallraff  
*IBM Journal of Research and Development*, **45**, 667 (2001) (*invited*).
62. The influence of resist components on image blur in a patterned positive-tone chemically amplified photoresist  
 F. A. Houle, W. D. Hinsberg, M. I. Sanchez and J. A. Hoffnagle  
*J. Vac. Sci. Technol. B* **20**, 924-931 (2002).
63. Product volatilization as a probe of the physics and chemistry of latent image formation in chemically amplified resists  
 W. D. Hinsberg, F. A. Houle, G. M. Poliskie, D. Pearson, M. I. Sanchez, and H. Ito  
*J. Phys. Chem. A* **106**, 9776-9787 (2002), *invited*
64. High NA lithography imagery at Brewster's angle  
 T. A. Brunner, J.A. Hoffnagle, W. D. Hinsberg, F. A. Houle, M. I. Sanchez  
*J. Microlith. Microfab. Microsys.* **1**, 188 (2002).
65. A method to measure the spatial resolution of a photoresist  
 J. A. Hoffnagle, W. D. Hinsberg, M. I. Sanchez and F. A. Houle  
*Optics Lett.* **27**, 1776-1778 (2002).
66. Kinetic model of positive-tone resist dissolution and roughening  
 F. A. Houle, W. D. Hinsberg and M. I. Sanchez  
*Macromolecules* **35** 3591-3600 (2002).
67. Use of interferometric lithography to characterize the spatial resolution of a photoresist film  
 J. A. Hoffnagle, W. D. Hinsberg, F. A. Houle and M. I. Sanchez  
*J. Photopolymer Sci. Technol.* **16**, 373 (2003).
68. Statistical limitations of printing 50 and 80 nm contact holes by EUV lithography  
 G. M. Gallatin, F. A. Houle, and J. L. Cobb  
*J. Vac. Sci. Technol.B* **21**, 3172-3176 (2003).
69. Acid-base reactions in a positive tone chemically amplified photoresist and their effect on imaging  
 F. A. Houle, W. D. Hinsberg and M. I. Sanchez  
*J. Vac. Sci. Technol.B* **22**, 747-757 (2004).
70. Ethics and the Welfare of the Physics Profession  
 K. Kirby and F. A. Houle  
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## ***Technical Software Products***

**MSIM4: Stochastic Mechanism Simulator.** D. L. Bunker and F. A. Houle, Quantum Chemistry Program Exchange, Indiana University, Bloomington, Indiana, Program No. 293 (1974).

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**Chemical Kinetics Simulator** W. D. Hinsberg and F. A. Houle. A powerful, easy-to-use package based on stochastic methods for simulation of a broad variety of chemical kinetics systems in the gas, liquid and solid phases. Available since 1996 for a no-cost license from <[www.almaden.ibm.com/st/past\\_projects.ck/](http://www.almaden.ibm.com/st/past_projects.ck/)>. In wide use throughout the world in education and in university, government and industrial laboratories.

**Visual Simulator (VSIM)** W. D. Hinsberg and F. A. Houle. Extended version of Chemical Kinetics Simulator for stochastic simulations of coupled reaction-diffusion and reactive multicompartment systems. Originally proprietary to IBM, placed in open access in 2012, [code.google.com/p/chemical-kinetics-simulator/](https://code.google.com/p/chemical-kinetics-simulator/)

**Kinetiscope** W. D. Hinsberg and F. A. Houle. Available in open access at [www.hinsberg.net/Kinetiscope](http://www.hinsberg.net/Kinetiscope)

## ***e-DVD project in Physical Chemistry Education***

“Physical Chemistry in Practice” with Professor G. Weaver, Department of Chemistry, Purdue University. Collaboration to create a module on chemical kinetics using IBM Almaden Research Center Resist group’s work (2002-2006). Work described in “Use of a multimedia DVD for Physical Chemistry: analysis of its effectiveness for teaching content and applications to current research and its impact on student views of physical chemistry”, K. T. Jennings, E. M. Epp and G. C. Weaver, *Chemistry Education Research and Practice*, **8**, 308-326 (2007).

## ***Patent Activities***

### **Issued Patents**

1. Selective Deposition of Copper  
T. H. Baum, F. A. Houle, C. R. Jones and C. A. Kovac  
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2. A Rapid Etching Method for Silicon by SF<sub>6</sub> Gas  
T. J. Chuang, F. A. Houle and K. Petersen  
U.S. Patent No. 4,617,086 (October 14, 1986)
3. Process for Depositing Metallic Copper  
T. H. Baum, F. A. Houle and C. R. Jones  
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4. Spatially Resolved Stochastic Simulation Systems  
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5. Method for Producing Thin Film Magnetic Structure  
R. Fontana, F. A. Houle and C. Tsang  
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12. Apparatus for characterization of photoresist resolution, and method of use  
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R. DiPietro, M. W. Hart, F. A. Houle, H. Ito  
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F. A. Houle, H. Ito  
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18. Phase change materials and associated memory devices  
Y. Chen, F. A. Houle, S. Raoux, C. T. Rettner, A. Schrott  
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F. A. Houle, C. Jahnes, S. Raoux, S. Rossnagel  
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F. A. Houle, S. Raoux  
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23. Processes and materials for step and flash imprint lithography  
R. DiPietro, M. W. Hart, F. A. Houle, H. Ito  
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24. Stabilizers for vinyl ether resist formulations for imprint lithography  
T. Furukawa, F. A. Houle, S. A. Swanson  
U. S. Patent No. 8,168,109 (May 1, 2012)
25. Vinyl ether resist formulations for imprint lithography and processes of use  
T. Furukawa, F. A. Houle  
U. S. Patent No. 8,168,691 (May 1, 2012)
26. Method and apparatus for sub-pellicle defect reduction on photomasks  
J. Burnham, F. A. Houle, L. Kindt  
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27. Aromatic vinyl ether based reverse-tone step and flash imprint lithography  
R. DiPietro, M. W. Hart, F. A. Houle, H. Ito  
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28. Stabilization of Vinyl Ether Materials  
F. A. Houle and H. Ito  
U. S. Patent No. 8,637,602 (Jan 28, 2014)
29. Aromatic vinyl ether based reverse-tone step and flash imprint lithography  
R. DiPietro, M. W. Hart, F. A. Houle, H. Ito  
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### **Published Invention Disclosures**

1. Laser Induced Chemical Etching of Ferrites  
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J. Hitchner, F. A. Houle and B. Martin  
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3. Gas-Phase, Laser- and Light-Induced Metal Deposition from Metal Substituted Fluorinated Compounds  
T. H. Baum, F. A. Houle, C. R. Jones and C. A. Kovac  
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4. Resistless Patterned Laser Etching  
F. A. Houle, J. Parasyczak and J. Wylczynski  
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## ***Invited Papers at International Conferences, 1984-present***

1. *Laser Assisted Chemical Etching*, Society of Photo-Instrumentation Engineers Symposium, Los Angeles, January 1984
2. *Laser Deposition of Copper from the Vapor Phase*, Rank Prize Funds Symposium on Photolytic Deposition, Malvern, England, April 1984
3. *Mechanism of Laser Assisted Etching of Silicon*, Thin Film Gordon Conference, New Hampshire, July 1984
4. *Etching of Silicon by XeF<sub>2</sub>, F and F<sub>2</sub>*, Plasma Chemistry Gordon Conference, New Hampshire, August 1984
5. *Fundamental Mechanisms in Laser-Assisted Chemical Etching*, Materials Research Society Fall Meeting, Boston, November 1984
6. *Fundamental Processes in Laser-Surface Photochemistry*, IBM Europe Institute Seminar on Laser Science, Lech, Austria, July 1985
7. *Fundamental Aspects of Photon Assisted Processing*, Electrochemical Society National Meeting, Las Vegas, October 1985
8. *Heat and Light in Laser-Materials Interactions*, American Vacuum Society National Symposium, Houston, November 1985
9. *Surface Photoreactions Induced by Electronic Excitation in a Solid*, American Chemical Society National Meeting, New York, May 1986
10. *Surface Reactions in Laser Deposition and Etching*, American Chemical Society National Meeting, Anaheim, September 1986
11. *Coupling of Reaction and Desorption in Spontaneous and Laser-Induced Etching*, American Physical Society March Meeting, New York, March 1987
12. *Interdependence of Excitation and Reaction in Laser Deposition of Thin Films*, American Chemical Society National Meeting, New Orleans, September, 1987
13. *Mechanistic Studies of Etching of Silicon by XeF<sub>2</sub>*, Dry Process Symposium, Electrochemical Society National Meeting, Honolulu, October 1987
14. *Photogenerated Charge Carriers and Laser Assisted Etching of Semiconductors*, American Vacuum Society National Symposium, Atlanta, October 1988
15. *Surface Reactions in Photochemical Film Growth from the Metal Hexacarbonyls*, American Chemical Society National Meeting, Miami, September 1989
16. *Mechanisms of Laser Photochemical Modification of Solid Surfaces*, Chemistry of Electronic Materials Gordon Research Conference, Ventura, CA, Feb 1990
17. *Connecting Optical Excitation and Film Properties in Laser-Induced Deposition*, 7th Interdisciplinary Laser Science Conference, Monterey, CA Sept 1991
18. *Fundamental Aspects of Laser Deposition of Thin Metal Films: Chemistry of Contamination*, K. A. Singmaster and F. A. Houle, Electrochemical Society National Symposium, Phoenix, October 1991
19. *Laser Assisted Chemical Vapor Deposition from the Metal Hexacarbonyls*, K. A. Singmaster and F. A. Houle, American Chemical Society National Meeting, San Francisco, April 1992
20. *Surface Chemistry of Laser-Assisted Film Growth*, 2nd International Conference on Laser Advanced Materials Processing, Nagaoka, Japan, June 1992
21. *Local Reactivity and Kinetic Control in Chemical Vapor Deposition*, Materials Research Society Fall Meeting, Boston, December 1992
22. *Stochastic simulation of CW and pulsed laser-induced chemical vapor deposition chemistry* OE/LASE '94, Los Angeles, January 1994
23. *Mechanistic Studies of Chemically Amplified Photoresists*, W. D. Hinsberg, G. M. Wallraff and F. A. Houle, American Chemical Society National Meeting, San Francisco, April 1997

24. *Modelling Chemical Vapor Deposition of Early Transition Metals*, F. A. Houle, K. A. Singmaster and W. D. Hinsberg, American Chemical Society National Meeting, San Francisco, April 1997
25. *Chemistry Controlling Nanoscale Pattern Formation by Deep UV Photolithography*, F. A. Houle, W. D. Hinsberg, M. Morrison, M. I. Sanchez, G. Wallraff, C. Larson and J. Hoffnagle, ACS Spring meeting, Anaheim, CA, March 1999
26. *Factors Controlling Pattern Formation in Chemically Amplified Resists at Sub-100 nm Dimensions*, W. Hinsberg, F. Houle, G. Wallraff, M. Sanchez, M. Morrison, J. Hoffnagle, H. Ito, C. Nguyen, C. Larson, 16th Conference of Photopolymer Science and Technology, Chiba, Japan, June 1999.
27. *Quantitative Description of the Postexposure Bake Process in a Chemically Amplified Resist Based on Spectroscopic Measurements*, W. Hinsberg, F. Houle, G. Wallraff, M. Morrison, M. Sanchez, C. Larson and J. Hoffnagle, IEEE Lithography Workshop, Anchorage, Alaska, August 1999.
28. *Factors Controlling Pattern Formation in Polymeric Resists at Nanoscale Dimensions*, W. Hinsberg, F. Houle, G. Wallraff, M. Sanchez, M. Morrison, J. Hoffnagle, H. Ito, C. Nguyen, C. Larson, P. Brock and G. Breyta, Materials Research Society Fall Meeting, Boston, MA, November 1999.
29. *Simulating Chemical Kinetics*, F. A. Houle and W. D. Hinsberg, ACS Spring Meeting, San Francisco, April 2000
30. *Chemistry and physics controlling nanoscale pattern formation in polymeric resists*, F. A. Houle, W. D. Hinsberg, M. I. Sanchez and J. A. Hoffnagle, Nanofabrication GRC July, 2000
31. *Physical Chemistry of Photolithography*, F. A. Houle and W. D. Hinsberg, ACS Spring meeting, San Diego, April 2001
32. *The influence of resist components on image blur in patterned positive tone chemically amplified photoresists*, F. A. Houle, W. D. Hinsberg, M. I. Sanchez and J. A. Hoffnagle, International Conf. On Electron, Ion and Photon Beams and Nanofabrication, Washington June 2001
33. *PLENARY Testing Limits of Photoresists with Interferometric Lithography*, John A. Hoffnagle, William D. Hinsberg, Frances A. Houle, Martha I. Sanchez, ICALEO 2001, Orlando, October 2001
34. *Basic Studies of Lithographic Materials Using Interferometry*, W. Hinsberg, S. Lee, J. Hoffnagle, M. Sanchez, F. Houle, T. Wallow, H. Ito and K. Kanazawa, 46th Annual International Conference on Electron, Ion and Photon Beams, Anaheim, CA (May 2002)
35. *Basic Studies of Lithographic Materials Using Interferometry*, W. Hinsberg, S. Lee, J. Hoffnagle, M. Sanchez, F. Houle, T. Wallow, H. Ito and K. Kanazawa, 19th Conference of Photopolymer Science and Technology, Chiba Japan, (June )
36. *Chemistry and physics of image formation in advanced chemically amplified photoresists*, E. A. Houle, W. D. Hinsberg, M. I. Sanchez and J.A. Hoffnagle, Inaugural meeting of the African Materials Research Society, Dakar, Senegal, December 2002
37. *Extendability of chemically amplified resists: another brick wall?* W. D. Hinsberg, F. A. Houle, M. I. Sanchez, J. A. Hoffnagle, G. M. Wallraff, D. R. Medeiros, J. L. Cobb, Advances in Resist Technology and Processing XX, SPIE , Santa Clara, CA March 2003
38. *Line Edge Roughness: Measurement Techniques*, M. Sanchez, W. Hinsberg, F. Houle, J. Hoffnagle, G. Gallatin, A. Mahorowala, D. Goldfarb, and S. Rasgon, 47th International Conference on Electron, Ion, and Photon Beam Technology and Nanofabrication, Tampa, FL, May 2003
39. *Use of interferometric lithography to characterize the spatial resolution of a photoresist film* J. A. Hoffnagle, W. D. Hinsberg, F. A. Houle and M. I. Sanchez, 20th Conference of Photopolymer Science and Technology, Chiba, Japan, June 2003

40. *Life on the frontier*, F. A. Houle, American Chemical Society National Meeting, New York NY, September 2003
41. *Reactive Dissolution Kinetics of Lithographic Copolymers* W. Hinsberg, F. A. Houle, H. Ito, ACS National Meeting, Anaheim, March 2004
42. *Sub-50 nm Half Pitch Imaging with a low Activation Energy Chemically Amplified Photoresist* Gregory M. Wallraff, David R. Medeiros, Carl E. Larson, Martha I. Sanchez, Karen E. Petrillo, Charles T. Rettner, Blake W. Davis, Linda K. Sundberg, Frances A. Houle, William D. Hinsberg, John A. Hoffnagle, Dario L. Goldfarb, Karen Temple, James J. Buchignano, W. S. Huang, S. Wind, A. Fornoff, 9th International Conference on Electron,Ion and Photon Beam Technology and Nanofabrication, San Diego, CA June 2004
43. *Chemical kinetics of nanofabrication*, F. A. Houle and W. D. Hinsberg, ACS National Meeting, Washington DC, August 2005
44. *Characterization of Materials for Nanoscale Lithography*, W. Hinsberg, J. A. Hoffnagle, F. A. Houle, G. M. Wallraff, M. I. Sanchez, C. M. Jefferson, D. S. Bethune and C.E. Larson, American Physical Society March Meeting, Baltimore, MD, March 2006
45. *Materials for Step and Flash Nanoimprint Lithography*, H. Ito, F. A. Houle, M.W. Hart, R. A. DiPietro, E. Hagberg, K. Carter, ACS National Meeting, Atlanta GA March, 2006
46. *Kinetics of Ionization and Photoresist Dissolution* W. Hinsberg, F. Houle, S. Lee, K. Kanazawa, A. Rao, V. Prabhu and W-I Wu, Materials Research Society Spring Meeting, San Francisco CA, April 2006
47. *Numeric Analyses of the Roles of Gas Phase and Liquid Phase UV Photochemistry in Conventional and Immersion 193 nm Lithography*, W. Hinsberg and F. A. Houle, 23rd Conference on Photopolymer Science and Technology, Chiba, Japan, June 2006
48. *Material Design for Step and Flash Nanoimprint Lithography*, H. Ito, F. A. Houle, R. A. DiPietro, M. W. Hart, 17<sup>th</sup> IEEE Lithography Workshop, Prince Edward Island, Canada, August 2006
49. *Characterization of Materials for Nanoscale Lithography*, W. D. Hinsberg, J. A. Hoffnagle, F. A. Houle, G. M. Wallraff, M. I. Sanchez, C. M. Jefferson, D. S. Bethune, C. E. Larson, International Microprocesses and Nanotechnology Conference, Kamakura, Japan October 2006
50. *A discussion of professional ethics* DISTINGUISHED SPEAKER International Conference on Computer Aided Design '06, San Jose November 2006
51. *Nanoimprint Materials Systems*, KEYNOTE TALK 25th Conference on Photopolymer Science and Technology, Chiba, Japan, June 2008
52. *Debonding of UV cured nanoimprint resist-release layer systems*, D. L. Casher, F. A. Houle and D. C. Miller, SPIE Advanced Lithography Symposium, Alternative Lithographic Technologies, San Jose, February 2009
53. 2009 AVS JOHN A. THORNTON MEMORIAL AWARD AND LECTURE.  
*Nanofabrication Chemistry: the impact of solid surfaces.* AVS International Symposium, San Jose, CA, November 2009
54. *Energy Critical Elements*, 2011 World Materials Summit and Student Congress, Washington, D.C., October 2011
55. *Energy Critical Elements*, Poptech, Camden, ME, October 2011.
56. *Stochastic simulations to bridge experiment and theory of complex chemical processes: application to aerosol ageing* 2014 Mesilla Chemistry Workshop in memory of Sally Chapman on Studies of the Chemical Dynamics of Energy Transfer and Chemical Reaction, Mesilla, NM, Feb 1-4, 2014
57. *Solar Fuels Generation by Artificial Photosynthesis: Translation from Science to Prototypes* 23<sup>rd</sup> International Materials Research Congress, Cancun Mexico, August 2014

58. *Sustainability considerations for solar generation of transportation fuels*, Latest Advances in Solar Water Splitting (Symposium J), Spring MRS Meeting, San Francisco (CA), April 6-10, 2015.
59. *Multicomponent Electrocatalytic Systems for Solar Fuels Generators*, F. A. Houle, F. M. Toma, D. Friebel, J. A. Haber, I. D. Sharp, and A. T. Bell, 228th Electrochemical Society Meeting, Phoenix, AZ, October 11-16, 2015.
60. *Solar Fuels Systems Research in the Joint Center for Artificial Photosynthesis*, Systems for Solar Fuels Generation Utilizing PV and Electrolysis Workshop, Newark, DE, March 7-8, 2016.
61. *Stochastic simulations of liquid aerosol chemistry*, 251st ACS National Meeting & Exposition, San Diego, California, March 13-17, 2016.
62. *Solar PEC H<sub>2</sub> devices*, Advanced Water Splitting Materials (AWSM) Workshop, Fuel Cell Technologies Office, Department of Energy, Stanford, CA, April 14-15, 2016.
63. *Materials research toward technology development*, Pathways to solar hydrogen technologies, Lorentz Center, Leiden, NL June 13-17, 2016.
64. *Stochastic simulations of organic aerosol ageing kinetics*, Towards a Molecular Understanding of Atmospheric Aerosols, Santa Cruz, CA, Aug 28 - Sep 2, 2016.